



09/683,149

Docket No. RD-28,667-1

4-165
B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Marc Schaepekens

Group Art Unit: 1763

Serial No.: 09/683,149

Examiner: P. Hassanzadeh

Filed: November 27, 2001

For APPARATUS AND METHOD FOR DEPOSITING
LARGE AREA COATINGS ON PLANAR
SURFACESSUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

- ☐ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of national, non-CPA filing or prior to 1st Office Action] no charge
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)(1)
[before Final Office Action, Allowance, or other action closing prosecution] no charge
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)
[before Final Office Action, Allowance, or other action closing prosecution] \$180.00
- ☒ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)
[On or before issue fee payment] \$180.00

ATTN: BOX PATENT APPLICATION
Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

| | |
|--|-------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below. | |
| 11-10-03 | _____ |
| Date of Deposit | _____ |
| monica Gentile | _____ |
| Type or Print Name | _____ |
| Monica Gentile | _____ |
| Signature | _____ |

Match and Return

This paper is submitted in accordance with:


- ☐ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☒ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☒ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

November 7, 2003

Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1
Schenectady, New York 12301
Customer Number: 006147

Respectfully submitted,



Attorney - Robert P. Santandrea

Registration No. 45,072

Phone: (518) 387-6304

or (518) 387-7122

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMSApplicant
Marc SchaepekensFiling Date
11/27/01Group
1763

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|--------------------|-----------|--------|--------------------|----------|----------------------------------|
| | A1 | 6,365,016 | 4/2/02 | Iacovangelo et al. | | |
| | A2 | 6,397,776 | 6/4/02 | Yang et al. | | |
| | A3 | | | | | |
| | A4 | | | | | |
| | A5 | | | | | |
| | A6 | | | | | |
| | A7 | | | | | |
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| | A13 | | | | | |
| | A14 | | | | | |
| | A15 | | | | | |
| | A16 | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|--|--------------------|------|---------|-------|----------|-----------------------|
| | B1 | | | | | |
| | B2 | | | | | |
| | B3 | | | | | |
| | B4 | | | | | |
| | B5 | | | | | |

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

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|----|--|
| C1 | PCT Search Report dated October 15, 2003. |
| C2 | Journal of Non-Crystalline Solids, "Fast Deposition of Microcrystalline Silicon with an Expanding Thermal Plasma", pp. 98-102, 2002. |
| C3 | Diamond and Related materials, Plasma Chemistry During Deposition of a-C:H", Volume 11, pp. 989-993, 2002. |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant